

# Abstract Cores in Implicit Hitting Set MaxSat Solving

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Online



# MaxSat

- A declarative optimisation paradigm based on propositional logic
  - i.e. Boolean literals and clauses
  - Builds on the success of SAT
  - Applications in:
    - ▶ data analysis
    - ▶ error localization in C code
    - ▶ haplotyping with pedigrees.
    - ▶ and others.

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  - 17 new benchmark families from different application areas submitted to the past two MaxSAT Evaluations

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# Our Contributions

- IHS solvers central in real-world MaxSAT solving
  - ▶ Decouple MaxSAT into core-extraction (SAT-solving) and optimization (IP-solving)
  - ▶ Avoid increasing complexity of SAT calls,
  - ▶ needs to extract a large number of cores on some instances.
- *Abstract cores*: a compact way of representing an exponential number of ordinary cores.
- In this paper we:
  - ▶ ... incorporate abstract cores into IHS solvers,
  - ▶ ... prove correctness of the algorithm,
  - ▶ ... prove that abstract cores improve IHS solvers in theory and
  - ▶ ... show significant experimental improvements.

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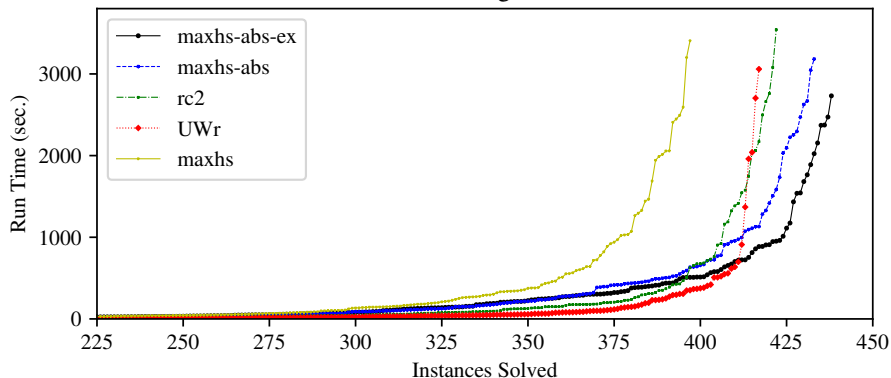


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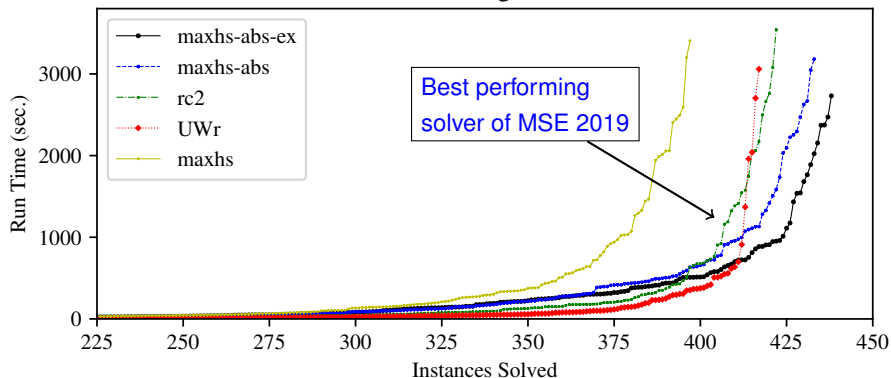
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MSE 2019 Unweighted Instances



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# Outline

- Preliminaries
- IHS based MaxSat solving
- Abstract cores
- IHS with abstract cores
- Empirical results.

# MaxSat solving with Implicit Hitting Sets

# Preliminaries: MaxSat

- Optimisation extension of Boolean Satisfiability (SAT)
- An instance consists of:
  - ▶ a set of hard clauses,
  - ▶ a set of soft clauses and
  - ▶ a weight function  $wf$  over the soft clauses.
- Find  $\tau$  that:
  - ▶ satisfies all hard clauses and
  - ▶ maximises the number of satisfied soft clauses.
- Assume w.l.o.g. that all soft clauses are unit negative literals
  - ▶ *Blocking variables*: the variables in soft clauses.
  - ▶  $\mathcal{F}_B$  the set of blocking variables

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$$\mathcal{F}_H = \{(b_1 \vee b_2), (b_2 \vee b_3)\}$$

$$\mathcal{F}_S = \{(\neg b_1), (\neg b_2), (\neg b_3)\}$$

$$w((\neg b_1)) = w((\neg b_2)) = 1, \\ w((\neg b_3)) = 1$$

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- An instance consists of:
  - ▶ a set of hard clauses,
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  - ▶ a weight function  $w$  over the soft clauses.
  - ▶ **weights can be any integers**
- Find  $\tau$  that:
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$$\mathcal{F}_S = \{(\neg b_1), (\neg b_2), (\neg b_3)\}$$

$$w((\neg b_1)) = 2, w((\neg b_2)) = 4, \\ w((\neg b_3)) = 3$$



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$$\begin{array}{l} \tau(b_1) = \tau(b_3) = 0 \\ \tau(b_2) = 1 \end{array}$$

$$\mathcal{F}_H = \{(b_1 \vee b_2), (b_2 \vee b_3)\}$$

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$$cost(\tau) = 1$$

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$$\tau = \{\neg b_1, b_2, \neg b_3\}$$

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$$\text{cost}(\tau) = \mathbf{1}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3\}$$

# Preliminaries: UNSAT Cores

- Central in modern MaxSAT solving:
- $\kappa \subset \mathcal{F}_S$  is an *core* if  $\mathcal{F}_H \wedge \kappa$  is unsatisfiable
- $\kappa$  can be represented as a clause over  $\mathcal{F}_B$  that is entailed by  $\mathcal{F}_H$
- or as a linear inequality

$$\mathcal{F}_H = \{(b_1 \vee b_2), (b_2 \vee b_3)\}$$

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$$\mathcal{F}_B = \{b_1, b_2, b_3\}$$

$$\kappa = \{(\neg b_1), (\neg b_2)\}$$

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$$\kappa = (b_1 \vee b_2)$$

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$$\mathcal{F}_B = \{b_1, b_2, b_3\}$$

$$\kappa = \{(\neg b_1), (\neg b_2)\}$$

$$\kappa = (b_1 \vee b_2)$$

$$\kappa = b_1 + b_2 \geq 1$$



# Preliminaries: UNSAT Cores

- Central in modern MaxSAT solving:
- $\kappa \subset \mathcal{F}_S$  is an *core* if  $\mathcal{F}_H \wedge \kappa$  is unsatisfiable
- $\kappa$  **can be represented as a clause over  $\mathcal{F}_B$  that is entailed by  $\mathcal{F}_H$**
- or as a linear inequality

In the rest of the presentation, we represent clauses  $(b_1 \vee b_2)$  as  $(b_1, b_2)$

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3)\}$$

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$$\mathcal{F}_B = \{b_1, b_2, b_3\}$$

$$\kappa = \{(\neg b_1), (\neg b_2)\}$$

$$\kappa = (b_1, b_2)$$

$$\kappa = b_1 + b_2 \geq 1$$

# Solving (unweighted) MaxSat with IHS

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

Basic-IHS ( $\mathcal{F}$ )

$$\mathcal{F}_S = \{(\neg b_1), (\neg b_2), (\neg b_3), (\neg b_4)\}$$

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Basic-IHS ( $\mathcal{F}$ )

Initialize

$$UB = \infty$$

$$LB = 0$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

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Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

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$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \text{Min-Hs}(\mathcal{F}_B, \emptyset)$$

Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

    Compute min-cost hitting set  $hs$

$$UB = \infty$$

$$LB = 0$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

Min-Hs ( $\mathcal{F}_B, \text{CORES}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# Solving (unweighted) MaxSat with IHS

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

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Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

    Compute min-cost hitting set  $hs$

$$hs = \text{Min-Hs}(\mathcal{F}_B, \emptyset)$$

$$UB = \infty$$

$$LB = 0$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

**Weighted Case**

Min-Hs ( $\mathcal{F}_B, \text{CORES}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} \text{wt}(b)b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# Solving (unweighted) MaxSat with IHS

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

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$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \emptyset$$

$$UB = \infty$$

$$LB = |\emptyset|$$

$$CORES = \emptyset$$

$$BESTSOL = \emptyset$$

Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

    Compute min-cost hitting set  $hs$

    Update LB

Min-Hs ( $\mathcal{F}_B, CORES$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in CORES$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# Solving (unweighted) MaxSat with IHS

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

$$\mathcal{F}_S = \{(\neg b_1), (\neg b_2), (\neg b_3), (\neg b_4)\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \emptyset$$

$$A = \mathcal{F}_B \setminus hs$$

$$UB = \infty$$

$$LB = 0$$

$$CORES = \emptyset$$

$$BESTSOL = \emptyset$$

Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

    Compute min-cost hitting set  $hs$

    Update LB

    Set up assumptions

Min-Hs ( $\mathcal{F}_B, CORES$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \forall \kappa \in CORES$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$



# Solving (unweighted) MaxSat with IHS

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$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$\text{sat-assume}(\mathcal{F}_H, \neg \mathcal{A})$$

$$\mathcal{A} = \{b_1, b_2, b_3, b_4\}$$

$$K = \{\}$$

$$UB = \infty$$

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Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

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  Set up assumptions

**Extract cores until SAT**

Min-Hs ( $\mathcal{F}_B, \text{CORES}$ ):

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# Solving (unweighted) MaxSat with IHS

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sat-assume( $\mathcal{F}_H, \neg \mathcal{A}$ )

$$\mathcal{A} = \{\cancel{b_1}, \cancel{b_2}, b_3, b_4\}$$

$$K = \{(b_1, b_2)\}$$

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Initialize

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$$\text{sat-assume}(\mathcal{F}_H, \neg \mathcal{A})$$

$$\mathcal{A} = \{\}$$

$$K = \{(b_1, b_2), (b_3, b_4)\}$$

$$\tau = \{\neg b_1, b_2, \neg b_3, b_4\}$$

$$UB = \infty$$

$$LB = 0$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Compute min-cost hitting set  $hs$

  Update LB

  Set up assumptions

**Extract cores until SAT**

Min-Hs ( $\mathcal{F}_B, \text{CORES}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# Solving (unweighted) MaxSat with IHS

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

$$\mathcal{F}_S = \{(\neg b_1), (\neg b_2), (\neg b_3), (\neg b_4)\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$K = \{(b_1, b_2), (b_3, b_4)\}$$

$$\tau = \{\neg b_1, b_2, \neg b_3, b_4\}$$

$$\mathbf{UB} = \mathit{cost}(\tau)$$

$$LB = 0$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \{\neg b_1, b_2, \neg b_3, b_4\}$$

Basic-IHS ( $\mathcal{F}$ )

Initialize

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    Update LB

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    Update UB

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$$K = \{(b_1, b_2), (b_3, b_4)\}$$

$$\tau = \{\neg b_1, b_2, \neg b_3, b_4\}$$

$$UB = 2$$

$$LB = 0$$

$$CORES = \{(b_1, b_2), (b_3, b_4)\}$$

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Basic-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

    Compute min-cost hitting set  $hs$

    Update LB

    Set up assumptions

    Extract cores until SAT

    Update UB

    Add cores to CORES

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$$UB = 2$$

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$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \text{Min-Hs}(\mathcal{F}_B, \{(b_1, b_2), (b_3, b_4)\})$$

$$UB = 2$$

$$LB = 0$$

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$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \{b_1, b_4\}$$

$$UB = 2$$

$$LB = |\{b_1, b_4\}|$$

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**return** BESTSOL

$$UB = 2$$

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**while**  $LB < UB$

    Compute min-cost hitting set  $hs$

    Update LB

    Set up assumptions

    Extract cores until SAT

    Update UB

    Add cores to CORES

**return** BESTSOL

$$UB = 2$$

$$LB = 2$$

LB need to be increased  
to optimum before termination



$$\text{CORES} = \{(b_1, b_2), (b_3, b_4)\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, \neg b_3, b_4\}$$

# Abstract Cores

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There exists MaxSAT instances on which IHS needs an exponential number of cores.

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$$\mathbf{n} = 8, \quad \mathbf{r} = 4$$

$$\kappa_1 = (b_{i_1}, b_{i_2}, b_{i_3}, b_{i_4}, b_{i_5})$$

is a core for any  $i_1, i_2, i_3, i_4, i_5$

### Intuition:

Any  $\kappa \subset \mathcal{F}_S$  s.t.  $|\kappa| = (n - r) + 1$  is a core.

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Blocking variables are **exchangeable**:  
cores are defined by the number of them,  
**not** the identity of them

$$\mathbf{n = 8, \quad r = 4}$$

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# Our Focus

## Research Question(s)

Does there exist a compact representation of large sets of cores that IHS can reason over?

# Abstract cores

Idea: What happens if we introduce literals that count the number of blocking variables set to true?

(similar to variables that have been successfully used in core-guided solvers)

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$$s^{AB}[i] \leftrightarrow \left( \sum_{b \in AB} b \geq i \right)$$

$$AB = \{ b_1, \dots, b_5 \} \subset \mathcal{F}_B$$

Define  $s^{AB}[i]$



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↑

**Note:** Can be encoded as CNF

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Consider:  $(b_7, s^{AB}[3], b_n)$

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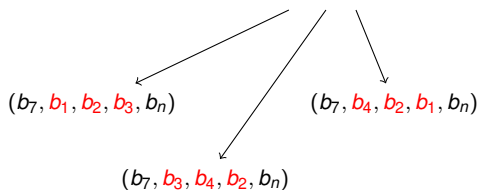
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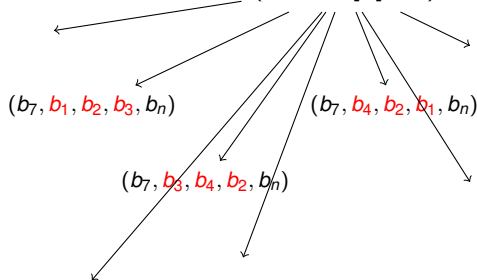
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# Abstract cores

Idea: What happens if we introduce literals that count the number of blocking variables set to true?

(similar to variables that have been successfully used in core-guided solvers)

## Terminology:

$AB$  is an *abstraction set*

$s^{AB}[i]$  is an *abstraction variable*

The *definition* of  $s^{AB}[i]$  is

$$s^{AB}[i] \leftrightarrow \left( \sum_{b \in AB} b \geq i \right)$$

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Consider:  $(b_7, s^{AB}[3], b_n)$

## Abstract Core:

a clause over abstraction and blocking variables that is entailed by  $\mathcal{F}_H$  and the definitions of abstraction variables



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Define  $s^{AB}[i]$

Could use other definitions

Summations successful  
in core-guided solvers.

## Abstract Core:

a clause over abstraction and blocking variables that is entailed by  $\mathcal{F}_H$  and the definitions of abstraction variables

# Abstract cores are expressive

## Proposition

An abstract core containing the abstraction variables  $\{s^{AB^1}[j_1], \dots, s^{AB^k}[j_k]\}$  is equivalent to the conjunction of

$$\prod_{i=1}^k \binom{|AB^i|}{|AB^i| - j_i + 1}$$

regular cores.

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regular cores.

## Two Questions remain:

- 1 How to compute abstraction sets?
- 2 How to extract and reason over abstract cores in IHS?

# Computing Abstraction Sets

## Ideally

Identify a set  $S \subset \mathcal{F}_B$  of exchangeable blocking variables.

# Computing Abstraction Sets

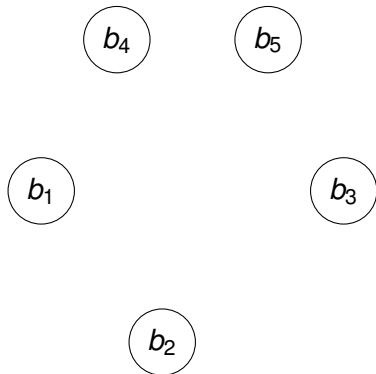
## Ideally

Identify a set  $S \subset \mathcal{F}_B$  of exchangeable blocking variables.

## In practice

Form abstraction sets over blocking variables that appear frequently in cores together.

LB: 0



# Computing Abstraction Sets

## Ideally

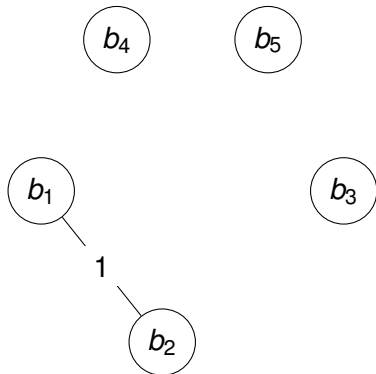
Identify a set  $S \subset \mathcal{F}_B$  of exchangeable blocking variables.

## In practice

Form abstraction sets over blocking variables that appear frequently in cores together.

Core:  $(b_1, b_2)$

LB: 0



# Computing Abstraction Sets

## Ideally

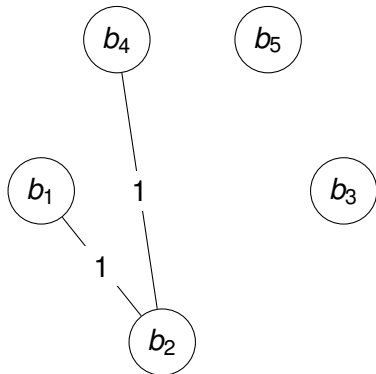
Identify a set  $S \subset \mathcal{F}_B$  of exchangeable blocking variables.

## In practice

Form abstraction sets over blocking variables that appear frequently in cores together.

Core:  $(b_2, b_4)$

LB: 0



# Computing Abstraction Sets

## Ideally

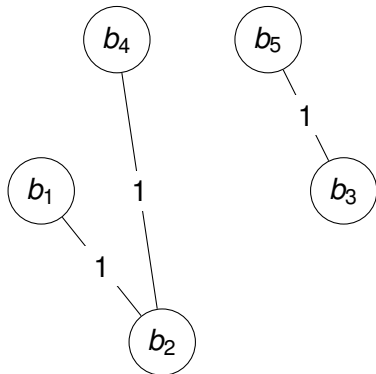
Identify a set  $S \subset \mathcal{F}_B$  of exchangeable blocking variables.

## In practice

Form abstraction sets over blocking variables that appear frequently in cores together.

Core:  $(b_3, b_5)$

LB: 0





# Computing Abstraction Sets

## Ideally

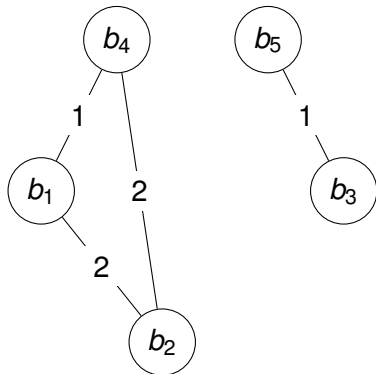
Identify a set  $S \subset \mathcal{F}_B$  of exchangeable blocking variables.

## In practice

Form abstraction sets over blocking variables that appear frequently in cores together.

Core:  $(b_1, b_2, b_4)$

LB: 0



# Computing Abstraction Sets

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Identify a set  $S \subset \mathcal{F}_B$  of exchangeable blocking variables.

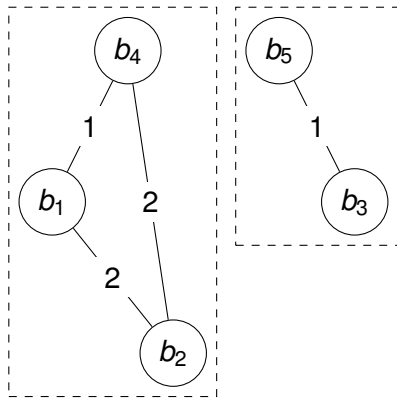
## In practice

Form abstraction sets over blocking variables that appear frequently in cores together.

Recall: IHS needs to increase LB to optimum

Clustering

LB: 0



# Computing Abstraction Sets

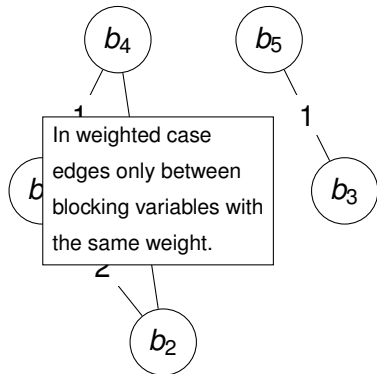
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## In practice

Form abstraction sets over blocking variables that appear frequently in cores together.

Recall: IHS needs to increase LB to optimum



# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

Abstract-IHS ( $\mathcal{F}$ )

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

Abstract-IHS ( $\mathcal{F}$ )

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

Initialize

$$UB = \infty$$

$$LB = 0$$

$$AB = \emptyset$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\}$$

Abstract-IHS ( $\mathcal{F}$ )

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

Initialize

**while**  $LB < UB$

$$UB = \infty$$

$$LB = 0$$

$$\mathcal{AB} = \emptyset$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

    Update  $AB$

$$UB = \infty \qquad LB = 0$$

$$AB = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \text{Min-Abs}(\mathcal{F}_B, \emptyset, AB)$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $AB$

  Compute min-cost hitting set  $hs$

$$\sum_{b \in AB} b - k \cdot s^{AB}[k] \geq 0$$

$$\sum_{b \in AB} b - |AB| \cdot s^{AB}[k] < k$$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, AB$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \ \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \ \forall AB \in AB$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$



# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4), \\ \bigwedge_{i=1}^2 \text{CNF}((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \emptyset$$

$$UB = \infty \quad LB = |\emptyset|$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF}((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \emptyset$$

$$\mathcal{A} = \text{ABSTRACT}(\mathcal{F}_B, hs, \mathcal{AB})$$
$$= \{b_1, s^{AB}[1], b_4\}$$

$$AB = \{b_2, b_3\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update LB

  Set up assumptions

ABSTRACT( $\mathcal{F}_B, hs, \mathcal{AB}$ )

$\mathcal{A} \leftarrow \{b \mid b \in \mathcal{F}_B - hs\}$

**foreach**  $AB \in \mathcal{AB}$  **do**

$\mathcal{A} \leftarrow \mathcal{A} - \{b \mid b \in AB\}$

$\mathcal{A} \leftarrow \mathcal{A} \cup \{s^{AB}[|AB \cap hs| + 1]\}$

**return**  $\mathcal{A}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF}((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$\text{sat-assume}(\mathcal{F}_H, \neg \mathcal{A})$$

$$\mathcal{A} = \{b_1, s^{AB}[1], b_4\}$$

$$K = \{\}$$

$$UB = \infty \quad LB = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update LB

  Set up assumptions

  Extract cores until SAT

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4), \\ \bigwedge_{i=1}^2 \text{CNF}((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

sat-assume( $\mathcal{F}_H, \neg \mathcal{A}$ )

$$\mathcal{A} = \{b_1, s^{AB}[1], b_4\}$$

$$K = \{(s^{AB}[1])\}$$

$$UB = \infty \quad LB = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF}((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$\text{sat-assume}(\mathcal{F}_H, \neg \mathcal{A})$$

$$\mathcal{A} = \{b_1, b_4\}$$

$$K = \{(s^{AB}[1])\}$$

$$UB = \infty \quad LB = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF}((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

sat-assume( $\mathcal{F}_H, \neg \mathcal{A}$ )

$$\mathcal{A} = \{b_1, b_4\}$$

$$K = \{(s^{AB}[1])\}$$

$$\tau = \{\neg b_1, b_2, b_3, \neg b_4\}$$

$$UB = \infty \quad LB = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \emptyset$$

$$\text{BESTSOL} = \emptyset$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$K = \{(s^{AB}[1])\}$$

$$\tau = \{\neg b_1, b_2, b_3, \neg b_4\}$$

$$\mathbf{UB} = \text{cost}(\tau) \quad \mathbf{LB} = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \emptyset$$

$$\mathbf{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $\mathbf{LB} < \mathbf{UB}$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $\mathbf{LB}$

  Set up assumptions

  Extract cores until SAT

  Update  $\mathbf{UB}$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$K = \{(s^{AB}[1])\}$$

$$\tau = \{\neg b_1, b_2, b_3, \neg b_4\}$$

$$UB = 2 \qquad LB = 0$$

$$AB = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $AB$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

**Add cores to CORES**

Min-Abs ( $\mathcal{F}_B, \text{CORES}, AB$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in AB$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$



# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$UB = 2 \qquad LB = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to  $\text{CORES}$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$UB = 2 \qquad LB = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to  $\text{CORES}$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \text{Min-Abs}(\mathcal{F}_B, \{(s^{AB}[1])\}, \mathcal{AB})$$

$$UB = 2 \quad LB = 0$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to  $\text{CORES}$

$\text{Min-Abs}(\mathcal{F}_B, \text{CORES}, \mathcal{AB})$ :

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in \mathcal{AB}} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall \mathcal{AB} \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \{b_2\}$$

$$UB = 2 \quad LB = |\{b_2\}|$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to  $\text{CORES}$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF}((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$hs = \{b_2\}$$

$$\mathcal{A} = \{b_1, s^{AB}[2], b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update LB

  Set up assumptions

  Extract cores until SAT

  Update UB

  Add cores to CORES

ABSTRACT( $\mathcal{F}_B, hs, \mathcal{AB}$ )

$\mathcal{A} \leftarrow \{b \mid b \in \mathcal{F}_B - hs\}$

**foreach**  $AB \in \mathcal{AB}$  **do**

$\mathcal{A} \leftarrow \mathcal{A} - \{b \mid b \in AB\}$

$\mathcal{A} \leftarrow \mathcal{A} \cup \{s^{AB}[|AB \cap hs| + 1]\}$

**return**  $\mathcal{A}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\},$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$\text{sat-assume}(\mathcal{F}_H, \neg \mathcal{A})$$

$$\mathcal{A} = \{b_1, s^{AB}[2], b_4\}$$

$$K = \{\}$$

$$UB = 2 \qquad LB = 1$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to  $\text{CORES}$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \ \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \ \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\},$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

sat-assume( $\mathcal{F}_H, \neg \mathcal{A}$ )

$$\mathcal{A} = \{\cancel{b_1}, \cancel{s^{AB}[2]}, \cancel{b_4}\}$$

$$K = \{(b_1, s^{AB}[2], b_4)\}$$

$$UB = 2 \qquad LB = 1$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to CORES

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \ \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \ \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4)\},$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$K = \{(b_1, s^{AB}[2], b_4)\}$$

$$\tau = \{\neg b_1, b_2, b_3, \neg b_4\}$$

$$UB = 2 \qquad LB = 1$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1])\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to  $\text{CORES}$

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \ \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \ \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$



# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

$$\bigwedge_{i=1}^2 \text{CNF} ((b_2 + b_3 \geq i) \rightarrow s^{AB}[i])\}$$

$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

$$K = \{(b_1, s^{AB}[2], b_4)\}$$

$$\tau = \{\neg b_1, b_2, b_3, \neg b_4\}$$

$$UB = 2 \qquad LB = 1$$

$$\mathcal{AB} = \{AB = \{b_2, b_3\}\}$$

$$\text{CORES} = \{(s^{AB}[1]), (b_1, s^{AB}[2], b_4)\}$$

$$\text{BESTSOL} = \{\neg b_1, b_2, b_3, \neg b_4\}$$

Abstract-IHS ( $\mathcal{F}$ )

Initialize

**while**  $LB < UB$

  Update  $\mathcal{AB}$

  Compute min-cost hitting set  $hs$

  Update  $LB$

  Set up assumptions

  Extract cores until SAT

  Update  $UB$

  Add cores to **CORES**

Min-Abs ( $\mathcal{F}_B, \text{CORES}, \mathcal{AB}$ ):

**minimize:**  $\sum_{b \in \mathcal{F}_B} b$

**subject to:**  $\sum_{b \in \kappa} b \geq 1 \quad \forall \kappa \in \text{CORES}$

$(\sum_{b \in AB} b \geq k) \leftrightarrow s^{AB}[k] \quad \forall AB \in \mathcal{AB}$

**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# IHS with abstract core reasoning

$$\mathcal{F}_H = \{(b_1, b_2), (b_2, b_3), (b_3, b_4),$$

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$$\mathcal{F}_B = \{b_1, b_2, b_3, b_4\}$$

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**return** BESTSOL

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**return:**  $\{b \mid b \text{ set to 1 in opt. soln}\}$

# Effects of Abstract Cores

# Abstract cores improve IHS in theory

## In theory

For each (unweighted) MaxSAT instance, there exists an abstraction set with which `Abstract-IHS` terminates with a polynomial number of cores.

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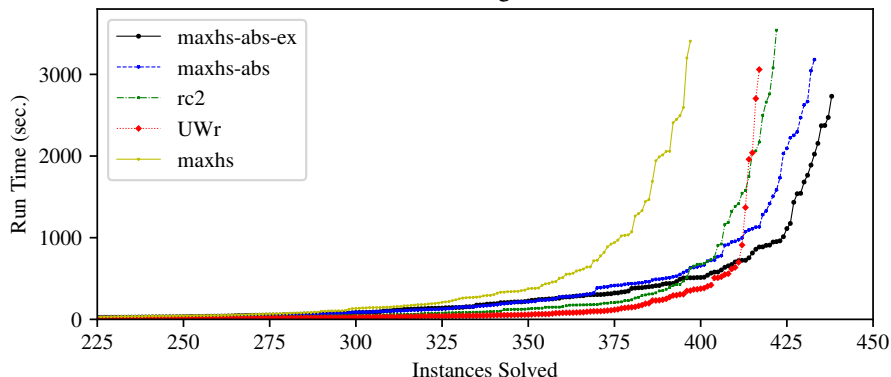
## ..however

- trade of between expressivity and overhead
- abstraction sets should be large enough to benefit IHS without inducing a lot of overhead.



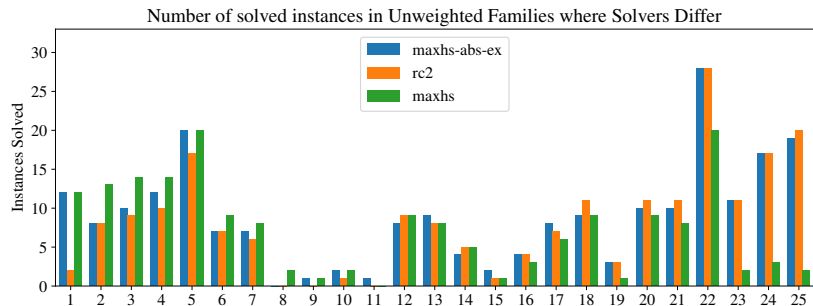
# ..and practice

MSE 2019 Unweighted Instances



- MAXHS: basic IHS (MaxHS Davies and Bacchus [2013, 2011])
- MAXHS-ABS: MAXHS with abstract core reasoning
- MAXHS-ABS-EX: MAXHS-ABS with additional heuristics.
- RC2 and UWR best performing solvers in 2019 MSE Ignatiev et al. [2019]; Karpinski and Piotrów [2019]; Bacchus et al. [2019]

# by benchmark family



# Conclusions

- *Abstract Cores:*
  - ▶ Cores containing variables representing logical constraints of soft clauses
  - ▶ can represent a large set of regular cores
  - ▶ We consider summations, other instantiations remain as future work
- In this paper we
  - ▶ show how to incorporate abstract cores into the the IHS MaxSAT algorithm,
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# Results - Weighted

MSE 2019 Weighted Instances

